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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number 10/604,699

Filing Date 08/11/2003

First Named Inventor CHARNLEY Jr., James Allen

Art Unit 3624

Examiner Name

Total Number of Pages in This Submission

Attorney Docket Number W012 P00898-US1

**ENCLOSURES (Check all that apply)**

- |  |   |   |
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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Technology Center (TC)                |
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| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter  |
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm or Individual name David R. Josephs, Esquire  
BARLOW, JOSEPHS & HOLMES, LTD.

Signature

Date

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**TRANSMITTAL OF FORMAL DRAWINGS**Docket No.  
P00898-US1In Re Application Of: **CHARNLEY JR., James Allen**

Serial No.	Filing Date	Confirmation No.	Examiner	Art Unit
10/604699	08/11/2003	1698		3624

Invention: **PROCESS FOR THE SELECTION AND EVALUATION OF INVESTMENT PORTFOLIO ASSET**

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Transmitted herewith are:

7 sheets of formal drawing(s) for this application.

☒ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).  
Signature

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Dated: 9/30/2003

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